

Anticipated Classification
of this Application:
Class _____ Subclass _____

Prior Application:
Examiner D. Romeo
Group Art Unit 1646

Attorney's
Docket
No. 43771-A-PCT-US-Y
JPW/EMW

HONORABLE ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

January 16, 2001

S I R:

This is a request for filing a _____ CONTINUATION

DIVISIONAL _____ CONTINUATION-IN-PART application under

37 C.F.R. §1.53(b) 37 C.F.R. §1.53(d), of pending prior application

Serial No. 09/268,202 filed on March 15, 1999 of

Riccardo Dalla-Favera and Raju S.K. Chaganti for
Inventor(s)

CLONING AND USES OF THE GENETIC LOCUS bcl-6

Title of Invention

1. Enclosed is a copy of the prior application, as originally filed and an affidavit or declaration verifying it as a true copy.

2. A verified statement to establish small entity status under 37 C.F.R. §1.9 and 1.27

_____ is enclosed.

was filed in the prior application and such status is still proper and desired (37 C.F.R. §1.28(a)).

3. The filing fee is calculated as follows:

jc987 U.S. PRO
09/761117
01/16/01

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	NUMBER FILED		NUMBER EXTRA*	RATE		FEE	
				SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
Total Claims	9 -20	=	0	X	\$ 9.00	\$18.00	= \$ 0
Independent Claims	3 -3	=	0	X	\$40.00	\$80.00	= \$ 0
Multiple Dependent Claims Presented:			Yes <input checked="" type="checkbox"/> No	\$135.00	\$270.00	= \$ 0	\$
*If the difference in Col. 1 is less than zero, enter "0" in Col. 2					BASIC FEE	\$355.00	\$710.00
					TOTAL FEE	\$355.00	\$710.00

Riccardo Dalla-Favera and
U.S. Serial No.: Not Yet Known
Filed: Herewith
Cont. Div.
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4. The Commissioner is hereby authorized to charge payment of the following fees associated with this application or credit any overpayment to Deposit Account No. 03-3125.

Any additional filing fees required under 37 C.F.R. §1.16.

Any patent application processing fees under 37 C.F.R. §1.17.: \$355.00 filing fee.

The issue fees set forth in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).

5. Three copies of this sheet are enclosed.

6. A check in the amount of \$ _____ is enclosed.

7. Cancel claims _____.

8. Amend the specification by inserting before the first line the sentence: --This is a continuation division of application Serial No. _____, filed _____.--

9. 23 Sheet(s) of informal formal drawing(s) is/ are enclosed.

10. Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file.

11. Priority of application No. _____ filed on _____ in _____ (country) is claimed under 37 U.S.C. §119.

The certified copy of the priority application has been filed in prior application Serial No. _____, filed _____.

12. The prior application is assigned of record to The Trustees of Columbia University in the City of New York and Sloan-Kettering Institute for Cancer Research (copies are enclosed)

13. A preliminary amendment is enclosed.

14. The power of attorney in the prior application is to:

John P. White (Reg. No. 28,678); Thomas P. Moran (Reg. No. 16,579); Norman E. Zivin (Reg. No. 25,385); Ivaq S. Kavrukov (Reg. No. 25,161); Christopher C. Dunham (Reg. No. 22,031); Thomas G. Carulli (Reg. No. 30,616); Robert D. Katz (Reg. No. 30,141); Peter J. Phillips (Reg. No. 29,691); Richard S. Milner (Reg. No. 33,970); Albert Wai-Kit Chan (Reg. No. 36,479); Kristina L. Konstas (Reg. No. 37,864); Robert T. Maldonado (Reg. No. 38,232); and Lewis J. Kreisler (Reg. No. 38,522);

Riccardo Dalla-Favera and
Raju S.K. Chaganti
U.S. Serial No.: Not Yet Known
Filed: Herewith
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(a) The power appears in the original papers in the prior application.

(b) Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

(c) Address all future communications to:
(May only be completed by applicant, or attorney or agent of record.)

John P. White

Cooper & Dunham LLP

1185 Avenue of the Americas

New York, New York 10036

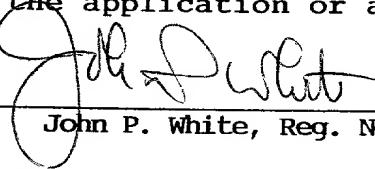
15. Also enclosed Express Mail Certificate of Mailing No. EL 628 788 139 US dated January 16, 2001, a computer diskette containing Sequence Listing, a Statement in Accordance, paper copy of Sequence Listing, and a loose set of figures.

16. I hereby verify that the attached papers are a true copy of prior application Serial No. 09/268,202 as originally filed on March 15, 1999.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

January 16, 2001

Date


Signature

John P. White, Reg. No. 28,678

INVENTOR(S)

ASSIGNEE OF COMPLETE INTEREST

ATTORNEY OR AGENT OF RECORD

FILED UNDER 37 C.F.R. §1.34(a)

Address of Signator:

Cooper & Dunham LLP

1185 Avenue of the Americas

New York, New York 10036

10/16/01
jc975 U.S. PTO

01-17-01

BOX SEQ

A

43771-A-PCT-US-Y/JPW/EMW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Riccardo Dalla-Favera and Raju S.K. Chaganti

Serial No.: Not Yet Known
(Divisional of U.S. Serial No. 09/268,202,
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January 16, 2001

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09/76117
01/16/01

Assistant Commissioner for Patents
Washington, D.C. 20231

Box: Patent Application

SIR:

EXPRESS MAIL CERTIFICATE OF MAILING
FOR ABOVE-IDENTIFIED APPLICATION

"Express Mail" mailing label number: EL 628 788 139 US

Date of Deposit: January 16, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, Box Patent Application.

Kermit V. Eubanks
Printed Name: Kermit V. Eubanks.

Respectfully submitted,

John P. White

John P. White
Registration No. 28,678
Attorney for Applicants
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
(212) 278-0400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Riccardo Dalla-Favera and Raju S.K. Chaganti
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SIR:

STATEMENT IN ACCORDANCE WITH 37 C.F.R. §1.821(f)

In accordance with 37 C.F.R. §1.821(f), I hereby certify that the enclosed computer readable form containing the nucleic acid and/or amino acid sequences required by 37 C.F.R. §1.821(f) has the same information which is submitted as the paper copy of the "Sequence Listing" attached hereto.

Respectfully submitted,

Elizabeth M. Wieckowski
Elizabeth M. Wieckowski
Registration No. 42,226
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
(212) 278-0400

Application
for
United States Letters Patent

To all whom it may concern:

Be it known that We,

Riccardo Dalla-Favera and Raju S.K. Chaganti
have invented certain new and useful improvements in

CLONING AND USES OF THE GENETIC LOCUS bcl-6

of which the following is a full, clear and exact description.